SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287
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Check this box if no lor to Section 16. Form 4 c obligations may continu Instruction 1(b).	or Form 5	Filed pursuant to Section or Section 30(h)
1. Name and Address of F	2. Issuer Name a	

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

on 16(a) of the Securities Exchange Act of 1934 of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Baker Christopher J.				Issuer Name and Ti <u>LX Energy Se</u> LXE]			0 ,		elationship of Reportin teck all applicable) Director Officer (give title below)	10% 0	Dwner (specify		
(Last) (First) (Middle) 3040 POST OAK BOULEVARD, 15TH FLOOR				3. Date of Earliest Transaction (Month/Day/Year) 09/01/2021						See R	emarks	, 	
(Street)									6. Individual or Joint/Group Filing (Check Applicable Line)				
HOUSTON	TX	77056							2	Form filed by On	Form filed by One Reporting Person		
(City)	(State)	(Zip)								Form filed by Mo Person	re than One Re	porting	
		Table I - N	on-Derivativ	e Securities Ac	quire	d, Di	isposed o	f, or B	eneficial	ly Owned			
Date		2. Transaction Date (Month/Day/Year	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8) Code V		Amount (A) or Price			Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Code V Amount (D) Ph						1 1100	(Instr. 3 and 4)						

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

S⁽¹⁾

S(1)

7,500

1,500

D

D

			(**5*) [**	,			,					7			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Expi		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form:	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

Common stock

Common stock

1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person for tax and estate planning purposes.

09/01/2021

09/02/2021

2. The price reported in Column 4 is a weighted average price. These share were sold in multiple transactions on one day at prices ranging from \$6.06 to \$6.36. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

Remarks:

President and Chief Executive Officer

/s/ Max L. Bouthillette, attorney-in-fact for Christopher J. Baker

\$6.2087⁽²⁾

\$7

243,962

242,462

D

D

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

09/03/2021